

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shaobing Hua  
Serial No.: 10/071,866  
Filed: February 8, 2002  
For: High Throughput Generation Human Monoclonal Antibody  
Against Peptide Fragments Derived From Membrane Proteins

Group No.: 1646  
Examiner: Unassigned

Commissioner for Patents  
Washington, D.C. 20231

## COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed April 2, 2002. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

## DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

## SMALL ENTITY STATUS

- IV. Applicant claims small entity status. See 37 CFR 1.27.

## COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$108
3. Surcharge Fee for Multiple Dependent Claim	\$140
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40
6. Total completion fees	\$723

## REQUEST FOR CORRECTED FILING RECEIPT

- V. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Shaobing Hua, Cupertino, CA; Michelle H. Pauling, San Mateo, CA; Li Zhu, Palo Alto, CA--.
2. Entity status	- Small

## TOTAL FEE DUE

- VI. The total fee due is: Completion fees \$723.

TOTAL FEE DUE \$723

## PAYMENT OF FEES

- VII. Charge Account No. 23-2415 (25636-717) in the amount of \$723.  
Please charge Account No. 23-2415 (25636-717) for any fees that may be due by this paper.



Shirley Chen, Ph.D., Reg. No. 44,608

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971

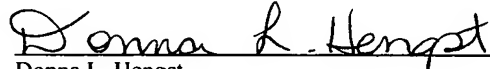
---

**CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.10)**

"Express Mail" Mailing Label No. EL473793545US

I hereby certify that this paper or fee is being deposited, with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: **Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.**

Date: May 31, 2002

  
Donna L. Hengst



06-03-02

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,866	02/08/2002	Shao-Bing Hua	25636-717

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050



CONFIRMATION NO. 8715

## FORMALITIES LETTER



\*OC000000007768183\*

Date Mailed: 04/02/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/06/2002 BABRAHA1 00000006 232415 10071866

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 CH
02 FC:205	65.00 CH
03 FC:203	108.00 CH
04 FC:204	140.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$248.
  - \$108 for 12 total claims over 20.
  - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 683.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CU

RECEIVED



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/071,866	02/08/2002	1646	0.00	25636-717	18	29	1

021971

WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050



CONFIRMATION NO. 8715

FILING RECEIPT



\*OC000000007768182\*

Date Mailed: 04/02/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shaobing Hua, Cupertino, CA  
[Shao-Bing Hua] Residence Not Provided;  
Michelle H. Pauling, [Residence Not Provided;] SAN MATEO, CA  
Li Zhu, [Residence Not Provided;] PALO ALTO, CA --.

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/02/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

High throughput generation of human monoclonal antibody against peptide fragments derived from membrane proteins

Preliminary Class

514